

*Please provide the following information, and submit to the NOAA DM Plan Repository.*

**Reference to Master DM Plan (if applicable)**

*As stated in Section IV, Requirement 1.3, DM Plans may be hierarchical. If this DM Plan inherits provisions from a higher-level DM Plan already submitted to the Repository, then this more-specific Plan only needs to provide information that differs from what was provided in the Master DM Plan.*

URL of higher-level DM Plan (if any) as submitted to DM Plan Repository:

**1. General Description of Data to be Managed****1.1. Name of the Data, data collection Project, or data-producing Program:**

OA 2014-5 Dataset - Limited Entry and Open Access cost earnings survey collecting 2014-15 data

**1.2. Summary description of the data:**

This project collects economic data from vessel owners participating in the West Coast limited entry fixed gear and open access groundfish, salmon, crab, and shrimp fisheries. The data is needed for a variety of applications, such as the NWFSC's regional economic model (IO-PAC) as well as estimating the profitability of fishery participants for applications such as the Pacific Fisheries Management Council's Groundfish Spex process. Major stages of the project include developing a survey questionnaire, developing a contact list of all fishery participants with available contact information (name, address, phone number), obtaining approval for the survey from the Office of Management and Budget through the Paperwork Reduction Act process, hiring a contractor to field the survey, training contractor personnel on how to field the survey, supervising survey fielding by the contractor, and working with the contractor to obtain an electronic data set containing survey results.

Cost Earnings data from the 2014-5 Open Access survey.

**1.3. Is this a one-time data collection, or an ongoing series of measurements?**

One-time data collection

**1.4. Actual or planned temporal coverage of the data:**

2015-12-01 to 2016-09-30

**1.5. Actual or planned geographic coverage of the data:**

W: -122.3062, E: -122.3062, N: 47.6449, S: 47.6449  
Montlake

**1.6. Type(s) of data:**

*(e.g., digital numeric data, imagery, photographs, video, audio, database, tabular data, etc.)*  
Table (digital)

**1.7. Data collection method(s):**

*(e.g., satellite, airplane, unmanned aerial system, radar, weather station, moored buoy, research vessel, autonomous underwater vehicle, animal tagging, manual surveys, enforcement activities, numerical model, etc.)*

Instrument: Surveys and Questionnaires

Platform: Platform Not Applicable

Physical Collection / Fishing Gear: Not Applicable

**1.8. If data are from a NOAA Observing System of Record, indicate name of system:****1.8.1. If data are from another observing system, please specify:****2. Point of Contact for this Data Management Plan (author or maintainer)****2.1. Name:**

Metadata Contact

**2.2. Title:**

Metadata Contact

**2.3. Affiliation or facility:****2.4. E-mail address:**

nmfs.nwfsc.metadata@noaa.gov

**2.5. Phone number:**

(206) 860-3433

**3. Responsible Party for Data Management**

*Program Managers, or their designee, shall be responsible for assuring the proper management of the data produced by their Program. Please indicate the responsible party below.*

**3.1. Name:**

Carl Lian

**3.2. Title:**

Data Steward

**4. Resources**

*Programs must identify resources within their own budget for managing the data they produce.*

**4.1. Have resources for management of these data been identified?**

No

**4.2. Approximate percentage of the budget for these data devoted to data management (specify percentage or "unknown"):**

25

## 5. Data Lineage and Quality

*NOAA has issued Information Quality Guidelines for ensuring and maximizing the quality, objectivity, utility, and integrity of information which it disseminates.*

### 5.1. Processing workflow of the data from collection or acquisition to making it publicly accessible

*(describe or provide URL of description):*

Lineage Statement:

In-person or telephone interview with commercial fisherman who either target groundfish without a Limited Entry permit (i.e. Open Access fishery) or target salmon, crab, or shrimp fisheries

#### 5.1.1. If data at different stages of the workflow, or products derived from these data, are subject to a separate data management plan, provide reference to other plan:

### 5.2. Quality control procedures employed (describe or provide URL of description):

Compare survey numbers with the commercial fish ticket data to ensure certain values are within certain ranges of the fish ticket data.

## 6. Data Documentation

*The EDMC Data Documentation Procedural Directive requires that NOAA data be well documented, specifies the use of ISO 19115 and related standards for documentation of new data, and provides links to resources and tools for metadata creation and validation.*

### 6.1. Does metadata comply with EDMC Data Documentation directive?

Yes

#### 6.1.1. If metadata are non-existent or non-compliant, please explain:

### 6.2. Name of organization or facility providing metadata hosting:

NMFS Office of Science and Technology

#### 6.2.1. If service is needed for metadata hosting, please indicate:

### 6.3. URL of metadata folder or data catalog, if known:

<https://www.fisheries.noaa.gov/inport/item/30863>

### 6.4. Process for producing and maintaining metadata

*(describe or provide URL of description):*

Metadata produced and maintained in accordance with the NOAA Data Documentation Procedural Directive: [https://nosc.noaa.gov/EDMC/DAARWG/docs/EDMC\\_PD-Data\\_Documentation\\_v1.pdf](https://nosc.noaa.gov/EDMC/DAARWG/docs/EDMC_PD-Data_Documentation_v1.pdf)

## 7. Data Access

*NAO 212-15 states that access to environmental data may only be restricted when distribution is explicitly limited by law, regulation, policy (such as those applicable to personally identifiable information or protected critical infrastructure information or proprietary trade information) or by security requirements. The EDMC Data Access Procedural Directive contains specific guidance, recommends the use of open-standard, interoperable, non-proprietary web services, provides information about resources and tools to enable data access, and includes a Waiver to be submitted to justify any approach other than full, unrestricted public access.*

### 7.1. Do these data comply with the Data Access directive?

No

#### 7.1.1. If the data are not to be made available to the public at all, or with limitations, has a Waiver (Appendix A of Data Access directive) been filed?

No

#### 7.1.2. If there are limitations to public data access, describe how data are protected from unauthorized access or disclosure:

Excel files are stored on a local harddrive (restricted to the primary analyst) and copies are stored in the Oracle EDC database as well which is controlled via Oracle logins.

### 7.2. Name of organization of facility providing data access:

Northwest Fisheries Science Center (NWFSC)

#### 7.2.1. If data hosting service is needed, please indicate:

No

#### 7.2.2. URL of data access service, if known:

[https://www.nwfsc.noaa.gov/data/api/v1/source/essr.open\\_access\\_survey\\_fact\\_conf/selection.json](https://www.nwfsc.noaa.gov/data/api/v1/source/essr.open_access_survey_fact_conf/selection.json)

<https://www.webapps.nwfsc.noaa.gov/apex/parrdata/inventory/datasets/dataset/102290>

[https://www.webapps.nwfsc.noaa.gov/apex/parrdata/inventory/tables/table/open\\_access\\_survey\\_fac](https://www.webapps.nwfsc.noaa.gov/apex/parrdata/inventory/tables/table/open_access_survey_fac)

### 7.3. Data access methods or services offered:

Request submitted to the NWFSC/FRAM ESSR Program Manager

### 7.4. Approximate delay between data collection and dissemination:

60

#### 7.4.1. If delay is longer than latency of automated processing, indicate under what authority data access is delayed:

QA/QC and post collection analysis. These data were collected and processed in accordance with established protocols and best practices under the direction of the projects Principal Investigator. Contact the dataset Data Manager for full QA/QC methodology.

## 8. Data Preservation and Protection

*The NOAA Procedure for Scientific Records Appraisal and Archive Approval describes how to identify, appraise and decide what scientific records are to be preserved in a NOAA archive.*

**8.1. Actual or planned long-term data archive location:**

*(Specify NCEI-MD, NCEI-CO, NCEI-NC, NCEI-MS, World Data Center (WDC) facility, Other, To Be Determined, Unable to Archive, or No Archiving Intended)*

OTHER

**8.1.1. If World Data Center or Other, specify:**

**8.1.2. If To Be Determined, Unable to Archive or No Archiving Intended, explain:**

**8.2. Data storage facility prior to being sent to an archive facility (if any):**

Northwest Fisheries Science Center - Seattle, WA

**8.3. Approximate delay between data collection and submission to an archive facility:**

365

**8.4. How will the data be protected from accidental or malicious modification or deletion prior to receipt by the archive?**

*Discuss data back-up, disaster recovery/contingency planning, and off-site data storage relevant to the data collection*

The Northwest Fisheries Science Center facilitates backup and recovery of all data and IT components which are managed by IT Operations through the capture of static (point-in-time) backup data to physical media. Once data is captured to physical media (every 1-3 days), a duplicate is made and routinely (weekly) transported to an offsite archive facility where it is maintained throughout the data's applicable life-cycle.

**9. Additional Line Office or Staff Office Questions**

*Line and Staff Offices may extend this template by inserting additional questions in this section.*